

# Instantons and Sphalerons in a Magnetic Field

Gökçe Başar

Stony Brook University

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GB, G.Dunne & D. Kharzeev arXiv:1112.0532, to appear in PRD

GB, D. Kharzeev & H-U. Yee arXiv:1202.xxxx

# Outline

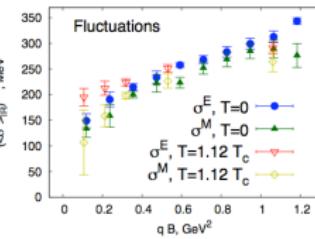
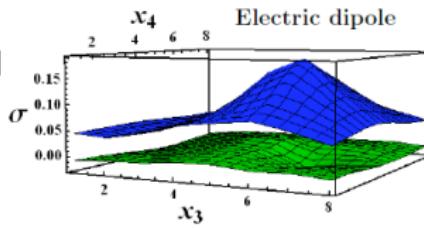
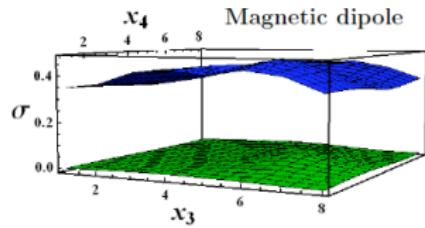
- ▶ Motivation & some lattice results
- ▶ General facts on Dirac operator
- ▶ Large instanton limit & dipole moments
- ▶ Sphaleron rate at strong coupling

# Motivation & some lattice results

Interplay between topology & magnetic field

- ▶ Chiral magnetic effect  $\vec{J} = q \frac{q \vec{B}}{2\pi} \frac{\mu_5}{\pi}$
- ▶ Anomaly induced hydrodynamics (chiral MHD), heavy ions etc...
- ▶ Lattice results
  - ▶ ITEP group (electric & dipole moments)
  - ▶ T. Blum et al. (zero modes  $\propto B$ )
  - ▶ A. Yamamoto (C.M. conductivity)

(Polikarpov et al. '09)



# Notation & conventions

work in:  $\mathbb{R}^4(\mathbb{T}^4)$

chiral basis:  $\gamma_\mu = \begin{pmatrix} 0 & \alpha_\mu \\ \bar{\alpha}_\mu & 0 \end{pmatrix}, \quad \gamma_5 = \begin{pmatrix} \mathbb{1} & 0 \\ 0 & -\mathbb{1} \end{pmatrix}$

$$\alpha_\mu = (\mathbb{1}, -i\vec{\sigma}) \quad , \quad \bar{\alpha}_\mu = (\mathbb{1}, i\vec{\sigma}) = \alpha_\mu^\dagger$$

Dirac operator:  $\not{D} = \begin{pmatrix} 0 & \alpha_\mu \mathcal{D}_\mu \\ \bar{\alpha}_\mu \mathcal{D}_\mu & 0 \end{pmatrix} \equiv \begin{pmatrix} 0 & D \\ -D^\dagger & 0 \end{pmatrix}$

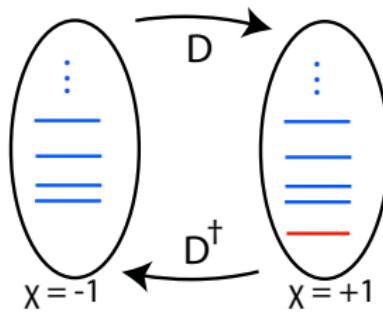
gauge field:  $\mathcal{A}_\mu = A_\mu + a_\mu$

# Notation & conventions

diagonal form:  $(i\mathcal{D})^2 \psi_\lambda = \begin{pmatrix} DD^\dagger & 0 \\ 0 & D^\dagger D \end{pmatrix} \psi_\lambda = \lambda^2 \psi_\lambda$

$$\begin{aligned}\chi = +1 : \quad & DD^\dagger = -\mathcal{D}_\mu^2 - \mathcal{F}_{\mu\nu} \bar{\sigma}_{\mu\nu} \\ \chi = -1 : \quad & D^\dagger D = -\mathcal{D}_\mu^2 - \mathcal{F}_{\mu\nu} \sigma_{\mu\nu}\end{aligned}$$

”supersymmetry:” for  $\lambda \neq 0$ ,  $DD^\dagger$  and  $D^\dagger D$  has identical spectra



# Magnetic field background

$$DD^\dagger = D^\dagger D = -\mathcal{D}_\mu^2 - B\sigma_3 = -\partial_3^2 - \partial_4^2 - \mathcal{D}_\mp\mathcal{D}_\pm \pm B - B\sigma_3$$

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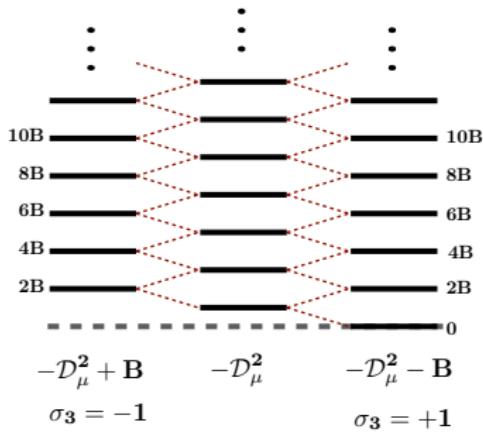
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**Constant field:**  $a_\mu = \frac{B}{2}(-x_2, x_1, 0, 0)$

**Spectrum:**



# Instanton (BPST) background

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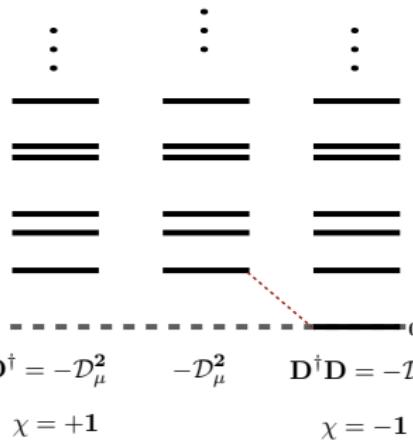
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# Instanton & magnetic field

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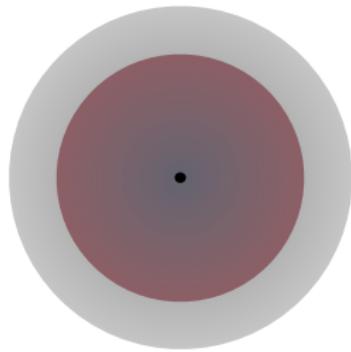
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Competition between instanton and magnetic field



Instanton zero mode:  $|\psi_0|^2 = \frac{64\rho^2}{(x^2+\rho^2)^3}$

Topological charge:  $q_5(x) = \frac{192\rho^4}{(x^2+\rho^2)^4}$



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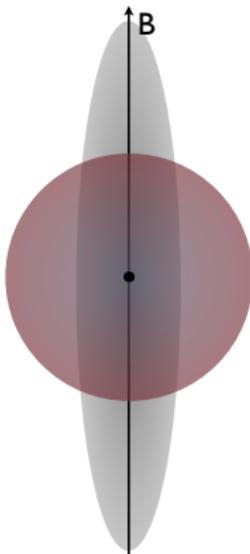
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$$A_\mu^a = 2 \frac{\eta_{\mu\nu}^a x_\nu}{x^2 + \rho^2} \approx \frac{2}{\rho^2} \eta_{\mu\nu}^a x_\nu + \dots$$

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after appropriate gauge rotation & Lorentz transformation:

$$\mathcal{A}_\mu = -\frac{F}{2}(-x_2, x_1, -x_4, x_3)\tau^3 + \frac{B}{2}(-x_2, x_1, 0, 0)\mathbb{1}_{2\times 2}$$

quasi-abelian, covariantly constant  $\rightarrow$  soluble!

# Large instanton limit

$$\mathcal{A}_\mu = -\frac{F}{2}(-x_2, x_1, -x_4, x_3)\tau^3 + \frac{B}{2}(-x_2, x_1, 0, 0)\mathbb{1}_{2\times 2}$$

$$\mathcal{F}_{12} = \begin{pmatrix} B - F & 0 \\ 0 & B + F \end{pmatrix}$$

$$\mathcal{F}_{34} = \begin{pmatrix} -F & 0 \\ 0 & F \end{pmatrix}$$

Landau problem with field strengths  $\mathcal{F}_{12}$  &  $\mathcal{F}_{34}$

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Landau problem with field strengths  $\mathcal{F}_{12}$  &  $\mathcal{F}_{34}$

Topological charge:  $\frac{1}{32\pi^2} \mathcal{F}_{\mu\nu}^a \tilde{\mathcal{F}}_{\mu\nu}^a = \frac{F^2}{2\pi^2}$

# Zero modes

$$\tau = -1$$

- + chirality:  $DD^\dagger$   
-  $(\mathcal{D}_1 - i\mathcal{D}_2)(\mathcal{D}_1 + i\mathcal{D}_2) - (\mathcal{D}_3 - i\mathcal{D}_4)(\mathcal{D}_3 + i\mathcal{D}_4)$   
 $+(B + 2F) - B\sigma_3$
- chirality:  $D^\dagger D$   
-  $(\mathcal{D}_1 - i\mathcal{D}_2)(\mathcal{D}_1 + i\mathcal{D}_2) - (\mathcal{D}_3 - i\mathcal{D}_4)(\mathcal{D}_3 + i\mathcal{D}_4)$   
 $+(B + 2F) - (B + 2F)\sigma_3$

$$\tau = +1$$

- + chirality:  $DD^\dagger$   
-  $(\mathcal{D}_1 - i\mathcal{D}_2)(\mathcal{D}_1 + i\mathcal{D}_2) - (\mathcal{D}_3 + i\mathcal{D}_4)(\mathcal{D}_3 - i\mathcal{D}_4) + B - B\sigma_3$
- chirality:  $D^\dagger D$   
-  $(\mathcal{D}_1 - i\mathcal{D}_2)(\mathcal{D}_1 + i\mathcal{D}_2) - (\mathcal{D}_3 + i\mathcal{D}_4)(\mathcal{D}_3 - i\mathcal{D}_4)$   
 $+B - (B - 2F)\sigma_3$

# Zero modes

$$\tau = -1$$

+ chirality:  $DD^\dagger$

$$-(\mathcal{D}_1 - i\mathcal{D}_2)(\mathcal{D}_1 + i\mathcal{D}_2) - (\mathcal{D}_3 - i\mathcal{D}_4)(\mathcal{D}_3 + i\mathcal{D}_4)$$
$$+(B + 2F) - B\sigma_3$$

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+ chirality:  $DD^\dagger$

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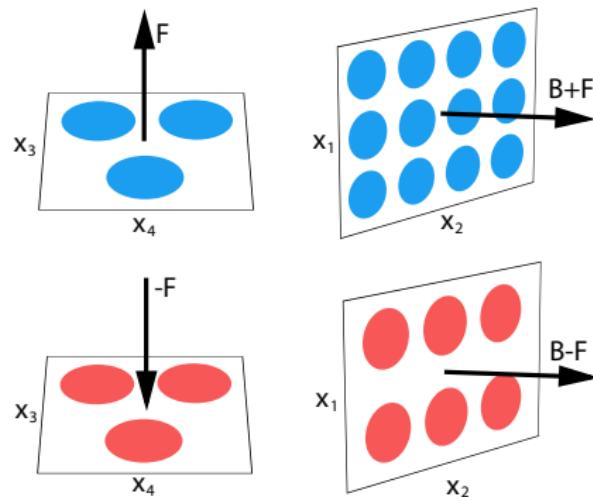
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$$+B - (B - 2F)\sigma_3$$

# Zero modes

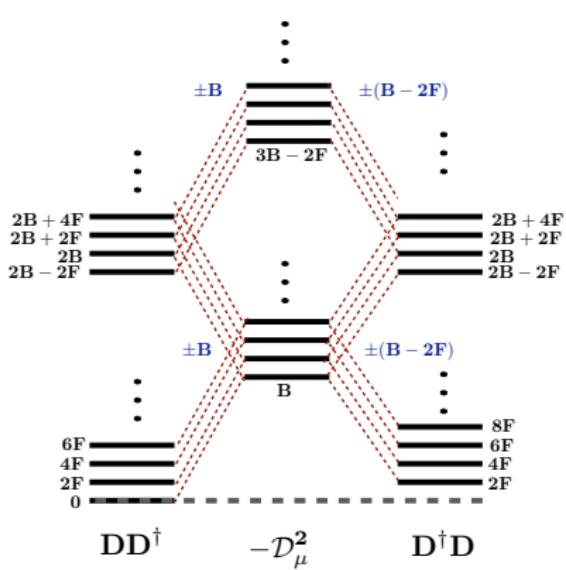
$$\tau = -1, \chi = -1, \text{spin } \uparrow, n_- = \frac{(B+F)}{2\pi} \frac{F}{2\pi}$$

$$\tau = +1, \chi = +1, \text{spin } \uparrow, n_+ = \frac{(B-F)}{2\pi} \frac{F}{2\pi}$$

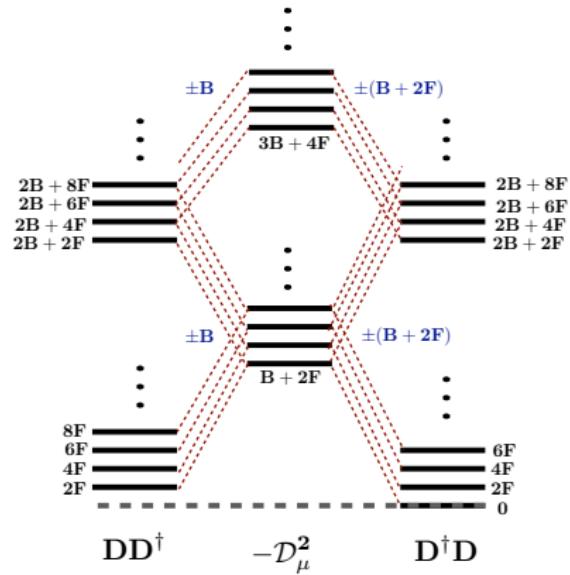
$$n_+ + n_- = B \frac{F}{2\pi^2}, \quad n_+ - n_- = - \frac{F^2}{2\pi^2}$$



## Spectra



$$\tau_3 = +1$$



$$\tau_3 = -1$$

# Zero modes

$$B < F$$

$$\chi = +1 : \quad n_+ = 0$$

$$\chi = -1 : \quad n_- = \begin{cases} \frac{(B+F)}{2\pi} \frac{F}{2\pi} & , \quad (\tau_3 = -1 , \text{ spin } \uparrow) \\ \frac{(-B+F)}{2\pi} \frac{F}{2\pi} & , \quad (\tau_3 = +1 , \text{ spin } \downarrow) \end{cases}$$

$$n_+ + n_- = n_- = \frac{F^2}{2\pi^2} , \quad n_+ - n_- = -n_- = -\frac{F^2}{2\pi^2}$$

$$n_\uparrow - n_\downarrow = \frac{BF}{2\pi^2}$$

# Quantization on $\mathbb{T}^4$

- ▶ nontrivial holonomy  $\rightarrow$  topology
- ▶ twisted boundary conditions  $\rightarrow$  fractional Pontryagin index  
('t Hooft '81)
- ▶ zero twist  $\rightarrow \exists$  quasi-abelian, covariantly constant, SD solutions (van Baal '96)

$$A_\mu(x_\nu + L_\nu) = \Omega_\nu^{-1}(x)(A_\mu(x_\nu) - i\partial_\mu)\Omega_\nu(x)$$

quantized flux for constant field strength (à la Aharonov-Bohm)

(van Baal '96, Al-Hashimi and Wiese '09)

$$(B - F)L^2 = 2\pi(N - M), \quad \text{for } \tau_3 = +1$$

$$(B + F)L^2 = 2\pi(N + M), \quad \text{for } \tau_3 = -1$$

$$F L^2 = 2\pi M, \quad \text{for } \tau_3 = +1$$

$$F L^2 = 2\pi M, \quad \text{for } \tau_3 = -1$$

# Quantization on $\mathbb{T}^4$

M: Instanton flux      N:Magnetic flux

Zero modes:

$$B > F$$

index:  $N_+ - N_- = -2M^2 = -\frac{F^2 L^4}{2\pi^2}$   
total number:  $N_+ + N_- = 2MN = \frac{BF L^4}{2\pi^2}$

$$B < F$$

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total number:  $N_+ + N_- = 2M^2 = \frac{F^2 L^4}{2\pi^2}$

# Dipole moments

$$\sigma_i^M = \frac{1}{2} \epsilon_{ijk} \langle \bar{\psi} \Sigma_{jk} \psi \rangle \quad , \quad \sigma_i^E = \langle \bar{\psi} \Sigma_{i4} \psi \rangle$$

# Dipole moments

$$\sigma_3^M = \frac{1}{2} \langle \bar{\psi} \Sigma_{12} \psi \rangle \quad , \quad \sigma_3^E = \langle \bar{\psi} \Sigma_{34} \psi \rangle$$

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$$\Sigma_{12} = \begin{pmatrix} \sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix} \quad , \quad \Sigma_{34} = \begin{pmatrix} -\sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix}$$

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$$\begin{aligned} m \langle \bar{\psi} \Sigma_{12} \psi \rangle &= \text{tr}_{2 \times 2} \left( \sigma_3 \frac{m^2}{m^2 + DD^\dagger} \right) + \text{tr}_{2 \times 2} \left( \sigma_3 \frac{m^2}{m^2 + D^\dagger D} \right) \\ m \langle \bar{\psi} \Sigma_{34} \psi \rangle &= -\text{tr}_{2 \times 2} \left( \sigma_3 \frac{m^2}{m^2 + DD^\dagger} \right) + \text{tr}_{2 \times 2} \left( \sigma_3 \frac{m^2}{m^2 + D^\dagger D} \right) \end{aligned}$$

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$$m \langle \bar{\psi} \Sigma_{12} \psi \rangle \approx \text{tr}_{2 \times 2} \left( \frac{m^2}{m^2 + DD^\dagger} \right) + \text{tr}_{2 \times 2} \left( \frac{m^2}{m^2 + D^\dagger D} \right)$$

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$$m \langle \bar{\psi} \Sigma_{12} \psi \rangle \approx \left( \frac{B - F}{2\pi} \right) \left( \frac{F}{2\pi} \right) + \left( \frac{B + F}{2\pi} \right) \left( \frac{F}{2\pi} \right)$$
$$m \langle \bar{\psi} \Sigma_{34} \psi \rangle \approx - \left( \frac{B - F}{2\pi} \right) \left( \frac{F}{2\pi} \right) + \left( \frac{B + F}{2\pi} \right) \left( \frac{F}{2\pi} \right)$$

# Dipole moments

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$$m \langle \bar{\psi} \Sigma_{12} \psi \rangle \approx \frac{BF}{2\pi^2}$$

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- ▶  $\sigma_3^M > \sigma_3^E$
- ▶  $\langle \bar{\psi} \Sigma_{34} \psi | \bar{\psi} \Sigma_{34} \psi \rangle \approx \left( \frac{F}{2\pi^2 m^2 L^4} \right) B$

# Sphaleron rate (basics)

$$\Gamma_{CS} = \frac{(\Delta Q_5)^2}{Vt} = \int d^4x \left\langle \frac{g^2}{32\pi^2} F_{\mu\nu}^a \tilde{F}_a^{\mu\nu}(x) \frac{g^2}{32\pi^2} F_{\alpha\beta}^a \tilde{F}_a^{\alpha\beta}(0) \right\rangle$$

Diffusion of topological charge:  $\frac{dN_5}{dt} = -c N_5 \frac{\Gamma_{CS}}{T^3}$

- ▶ CP odd effects in QCD (CME)
- ▶ Baryon number (B+L) violation in E.W.

Weak coupling:  $\Gamma_{CS} = \kappa g^4 T \log(1/g) (g^2 T)^3$  (Bödeker '98)

Strong coupling:  $\Gamma_{CS} = \frac{(g^2 N)^2}{256\pi^3} T^4$  (Son, Starinets '02)

# Sphaleron rate (holography)

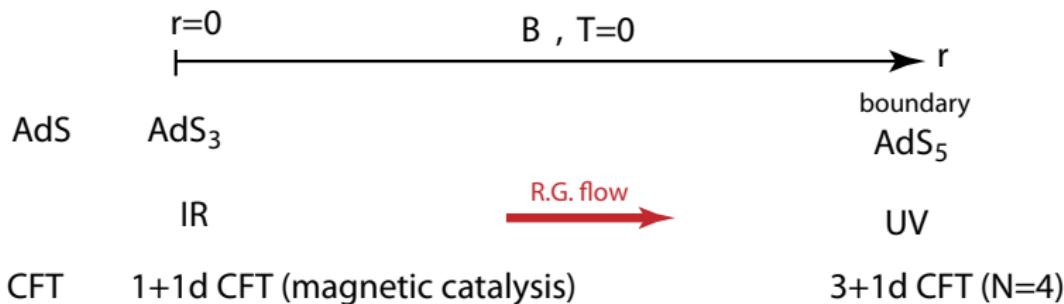
5-d Einstein-Maxwell-(Chern Simons):

$$S = -\frac{1}{16\pi G_5} \int d^5x \sqrt{-g} (R + F^{MN}F_{MN} - \frac{12}{l^2}) + \frac{1}{6\sqrt{3}\pi G_5} \int A \wedge F \wedge F$$
$$F = B dx_1 \wedge dx_2$$

$\exists$  solutions of the form: (D'Hoker, Kraus '08-'11)

$$ds^2 = -U(r)dt^2 + \frac{dr^2}{U(r)} + e^{2V(r)}(dx_1^2 + dx_2^2) + e^{2W(r)}dx_3^2$$

with:  $U(r_h) = 0$  ,  $e^{2V(r)}, e^{2W(r)}|_{r \rightarrow \infty} \rightarrow r^2$  ( $AdS_5$ ),



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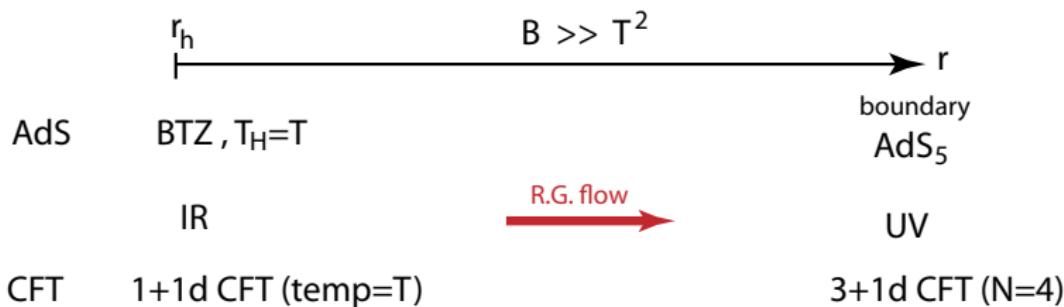
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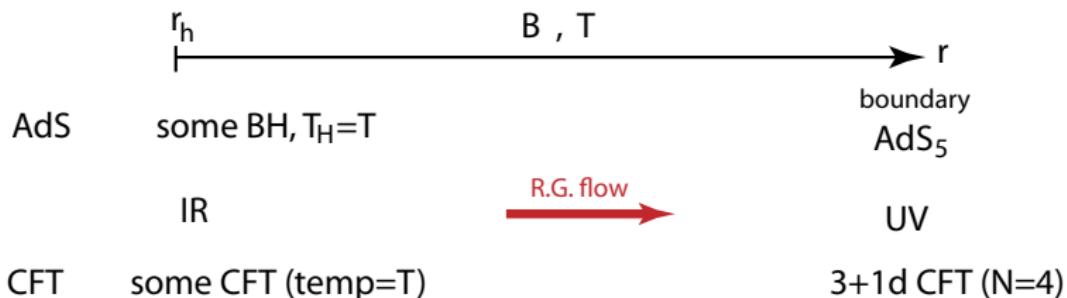
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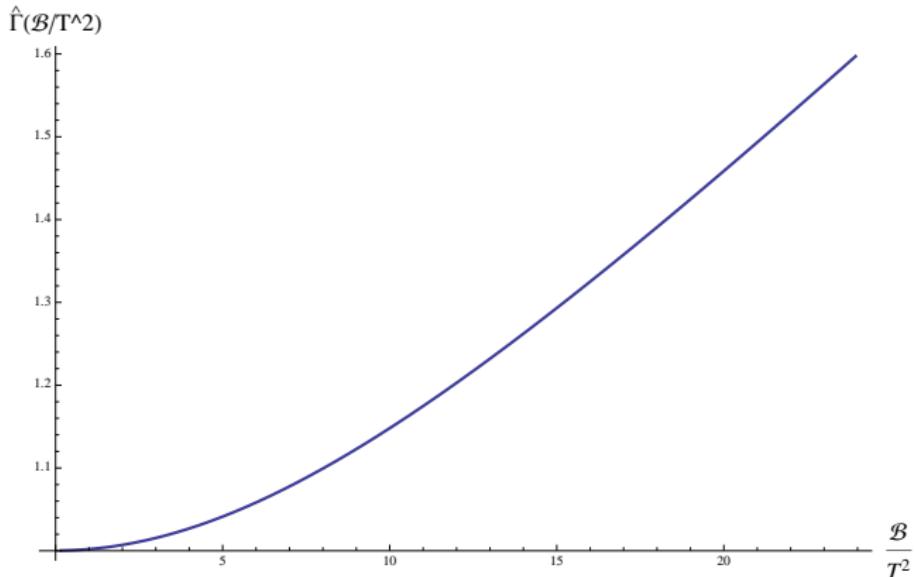
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$$\Rightarrow \Gamma_{CS} = - \left( \frac{g^2}{8\pi^2} \right)^2 \lim_{\omega \rightarrow 0} \frac{2T}{\omega} \mathcal{I}m \left[ G_{F\tilde{F},F\tilde{F}}^R(\omega, \vec{k} = 0) \right]$$

# Sphaleron rate (holography)



$$\Gamma_{CS} = \begin{cases} \frac{(g^2 N)^2}{256\pi^3} \left( T^4 + \frac{1}{6\pi^4} B^2 + \mathcal{O}\left(\frac{B^4}{T^2}\right) \right) & , \quad B \ll T^2 \\ \frac{(g^2 N)^2}{384\sqrt{3}\pi^5} \left( B T^2 + 15.9 T^4 + \mathcal{O}\left(\frac{T^6}{B}\right) \right) & , \quad B \gg T^2 \end{cases}$$

# Sphaleron rate (holography)

$$B \gg T$$

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↓  
diffusion scale in 1+1d

- ▶ zero modes are magnetically confined in lowest Landau levels
- ▶ strong interaction → back-reaction of B into the sphaleron
- ▶ spherical symmetry → axial symmetry

# An analogy

Electroweak sphaleron (Klinkhamer, Manton ,'89)

- ▶ Spherically symmetric for  $SU(2)$  ( $\Theta_W = 0$ )
- ▶ Axially symmetric for  $SU(2) \times U(1)$  ( $\Theta_W \neq 0$ )
- ▶ Has a magnetic dipole moment for  $\Theta_W \neq 0$

# Conclusions & speculations

- ▶ Instanton + magnetic field has a rich structure
- ▶ Electric and magnetic dipole moments
- ▶ Zero modes play a crucial role
- ▶ 1<sup>st</sup> order derivative expansion captures some lattice results
- ▶ Confinement ? (instantons with nonzero holonomy)
- ▶ At strong coupling:
  - ▶ Magnetic field always increases the sphaleron rate
  - ▶ Back-reaction of magnetic field into non-abelian sector
  - ▶ Strong magnetic field leads to dimensional reduction
- ▶ Weak coupling? (Diamagnetic response of zero modes?)